

# **Analytical Report on the Results of Thematic Anti-Corruption Monitoring**

## **I. Introductory Part**

1. Name of the field of activity of the quasi-public sector entity whose activities were subject to thematic anti-corruption monitoring: the activities of Export-Credit Agency of Kazakhstan JSC (hereinafter referred to as the Company) for the 3rd quarter of 2026.

2. The thematic anti-corruption monitoring was conducted by: Chief Compliance Controller of the Compliance Service Zulfiya Anvarovna Muratova, office phone 8 (7172) 95-56-56 (ext. 183).

3. Period of the thematic anti-corruption monitoring: commenced on October 1, 2026, completed on October 5, 2026.

## **II. Information and Analytical Part**

### **Performance of Inspections and Audits**

No inspections or audits were conducted in respect of the Company by state bodies during the observation period.

### **Inquiries and Publications Regarding Cases of Corruption Manifestations or Abuse of Office**

During the reporting period, the Company received no written inquiries, nor any inquiries submitted to the whistleblowing email address **senim@kazakhexport.kz** or the **"Senim"** helpline **8 (7172) 55 44 70**, containing information on corruption manifestations, abuse of office, or fraudulent actions on the part of officers and employees of the Company.

In periodical print, television, and electronic mass media, as well as on social networks, there were no publications containing information on facts of fraud, corruption manifestations, or abuse of office in the activities of the Company and its employees.

The total number of mentions of the Company and its activities in the mass media for the 3rd quarter of the current year amounted to 2,718 mentions.

Of these: 2,258 mentions of the Company were of a positive nature;

460 were of a neutral nature;

publications with a negative tone were absent.

### **Information from Legal Statistics Bodies, International and Non-Governmental Organizations**

Within the framework of the ongoing monitoring, documents on claims and litigation work carried out by employees of the Legal Support Department and the Department for Insurance and Guarantee Payments during the third quarter of the current year were reviewed, as well as the official Internet resources of the Financial Monitoring Agency of the Republic of Kazakhstan, the Anti-Corruption Service of the National Security Committee of the Republic of Kazakhstan, the Supreme Court of the Republic of Kazakhstan, the Committee on Legal Statistics and Special Records of the Prosecutor General's Office of the Republic of Kazakhstan, and data from the "Komptra" reference service.

The anti-corruption monitoring revealed no cases of initiation of criminal cases or criminal prosecution for corruption offenses committed by employees and officers of the Company.

There were no facts of initiation of proceedings against the Company and its officers in administrative corruption offense cases.

There are no judicial proceedings in criminal cases or administrative corruption offense cases involving the Company, its employees, and officers.

On the Internet resources of international and non-governmental organizations, such as the United Nations, the Eurasian Development Bank, the World Bank, Transparency International (an international non-governmental anti-corruption and corruption research organization), and the FATF (an intergovernmental organization – Financial Action Task Force on Money Laundering), there were no mentions of corruption manifestations in the Company's activities or of its execution of transactions to legalize illicit income.

### **Compliance with Anti-Corruption Restrictions and Standards**

During the monitoring, no cases of non-compliance by officers from among the members of the Management Board and employees of the Company with anti-corruption restrictions and standards provided for by the norms of the Law of the Republic of Kazakhstan "On Combating Corruption" and the Anti-Corruption Policy of the Company were established.

No facts of non-compliance with the established anti-corruption restrictions and standards were identified: no mentions or information were found regarding the possession of accounts and deposits in banks located outside the Republic of Kazakhstan by persons performing managerial functions in the Company and employees of the Company, lobbying of personal interests during the consideration of draft transactions concluded by the Company with clients and suppliers of services (goods), joint work of close relatives and in-laws in the Company, or the use for personal purposes and disclosure of confidential information.

There are no cases of hiring persons who have committed corruption crimes as employees of the Company, which is confirmed by the presence of the relevant certificates issued by the territorial bodies of the Committee on Legal Statistics and Special Records of the Prosecutor General's Office of the Republic of Kazakhstan in the electronic personnel files of employees formed by the Department of Human Resource Management and Operational Activity.

### **Existence of Conflicts of Interest and Their Settlement**

During the observation period, no cases of conflicts of interest arising in the day-to-day work of officers and employees of the Company were noted.

### **Cultivation of an Anti-Corruption Culture**

As part of measures to cultivate an anti-corruption culture and increase the awareness level of the Company's employees, on August 27, 2026, online training was conducted on the topic "Financial Control Measures in Export-Credit Agency of Kazakhstan JSC".

Within the framework of the training, legislative requirements in the sphere of financial control were considered, including the circle of persons subject to the relevant obligations, the procedure and deadlines for submitting declarations, requirements for disclosing information

provided for by legislation, as well as issues of compliance with established restrictions and liability.

Employees were provided with practical explanations on the proper fulfillment of financial control requirements and the prevention of potential violations of anti-corruption legislation. The requirements for reflecting property and financial assets located outside the Republic of Kazakhstan, digital assets, and other objects provided for by legislation in declarations were explained.

### **III. Concluding Part**

Based on the results of the conducted thematic anti-corruption monitoring, no confirmed cases of corruption offenses in the activities of the Company's employees and officers were established during the observation period.

Anti-corruption restrictions and standards are complied with in the day-to-day activities of the Company's officers and employees.

According to the results of the monitoring, the Company's current anti-corruption measures and preventive activities are assessed as effective. No grounds for adopting additional measures were established based on the results of the conducted monitoring.

**Head of the Compliance Service**

**A. Zhakayeva**

**Chief Compliance Controller**

**Z. Muratova**